



STATE OF INDIANA

DEPARTMENT OF FINANCIAL INSTITUTIONS



30 South Meridian Street, Suite 300
Indianapolis, Indiana 46204-2759
Telephone: (317) 232-3955
FAX: (317) 232-7655
WEB SITE <http://www.in.gov/dfi>

We are enclosing an application for renewal of your Indiana Small Loan license. The original copy of the renewal application together with a check made payable to the Department of Financial Institutions for \$2,000.00 plus \$1,000.00 per branch location in Indiana after the first location is to be sent to the Department by January 31. A duplicate of the application is to be retained in your file for review at the time of the next examination.

(Indiana Code 24-4.5-6-202(3)) Every licensee shall file notification with the department not later than 30 days after the date of the event if the licensee:

- **Has a change in name, address, or principals;**
- **Opens a new branch, closes an existing branch, or relocates an existing branch;**
- **Files for bankruptcy or reorganization;**
- **Is subject to revocation or suspension proceedings by a state or governmental authority with regard to the licensee's activities; or**
- **Has a key officer or director of the licensee that is under a felony indictment related to the licensee's activities; or has been convicted of a felony related to the licensee's activities.**
- **Indiana Code 24-4.5-3-503(6) states: A loan license is not assignable or transferable.**

BOND: Evidence of Bond required by IC 24-4.5-7-413 in the amount of fifty thousand dollars (\$50,000) for each location where small loans will be made, up to a maximum bond amount of five hundred thousand dollars (\$500,000) is to accompany the license renewal. The Bond must remain in force during the time a licensee engages in small loan transactions in Indiana and for two (2) years after the date a licensee ceases making small loans in Indiana. Additional new branches will require an increase in the bond amount at the time the branches are opened unless already at the maximum bond amount.

FINANCIAL STATEMENTS: Annual review or audit level Financial Statements prepared by an external Certified Public Accountant (CPA) or most recent 10K filing with the Securities and Exchange Commission (SEC) showing a net worth of at least \$100,000 and liquid assets of \$50,000 (liquid assets include cash or its equivalent that are readily convertible to cash without significant loss such as treasury bills, short term marketable securities, demand deposits and time deposits nearing maturity. Other assets that you believe to be liquid assets must be identified and footnoted in the CPA report.) **must be maintained and readily available for the Department's examiner to review when conducting their examination of your company or at any time the Department deems it necessary to review the statements.**

If the license is not to be renewed, the original license must be returned before January 31 with a letter stating that it is to be surrendered.

If you desire further information concerning specific licensing questions, please contact this office.

► PLEASE NOTE:

THIS DEPARTMENT MAY IMPOSE A FEE OF \$5.00 PER DAY ON ANY LICENSE RENEWAL FEE THAT IS NOT RECEIVED ON OR BEFORE JANUARY 31.

NON-DEPOSITORY DIVISION
mtarpey@dfi.state.in.us



RENEWAL OF INDIANA SMALL LOAN LICENSE

State Form 50983(R 9/03) Approved State Board of Accounts 2002

DUE DATE: January 31

INVOICE # _____ CHECK # _____
AMT. PAID _____ BAL. DUE _____
REFUND _____ WARRANT _____

STATE OF INDIANA
DEPARTMENT OF FINANCIAL INSTITUTIONS
30 South Meridian Street, Third Floor
Indianapolis, Indiana 46204 (317) 232-3955

President/CEO
Business Name
Address

Phone
Fax
Lic ID

City, State, Zip Code

DFI ID

Number of Indiana Branches _____

USE SPACE BELOW TO INDICATE CHANGES OR ADDITIONS NEEDED TO CORRECT PREPRINTED INFORMATION ABOVE. IF CHANGING NAME, SEND IN ORIGINAL LICENSE TO BE REISSUED UNDER NEW NAME. IC 24-4.5-3-503(6) STATES: A LOAN LICENSE IS NOT ASSIGNABLE OR TRANSFERABLE.

NAME OF BUSINESS

MAILING ADDRESS OF BUSINESS

CITY

STATE

ZIP CODE

TELEPHONE NUMBER

EXTENSION

FAX NUMBER

CONTACT PERSON

E-MAIL ADDRESS

COMMENTS

ACKNOWLEDGMENT

SIGNATURES REQUIRES: (Attach additional sheet if necessary)

- (A) Corporation: The president and one other officer must sign. (B) Partnership: all partners must sign.
(C) Sole Proprietorship: owner must sign.

Printed Signed Name: _____

Title

Signature: _____

Printed Signed Name: _____

Title

Signature: _____

Printed Signed Name: _____

Title

Signature: _____

Name of Company / Doing Business As	
Street Address	
City, State, and Zip Code	LicID Number

**PLEASE PROVIDE COMPLETE ADDRESS OF ALL INDIANA LOCATIONS / BRANCHES.
ATTACH A SEPARATE SHEET, IF NEEDED.**

INDIANA CODE 24-4.5-6-202(d)(2) requires annual notice of address of all offices or retail stores in Indiana at which consumer credit sales, consumer leases, or consumer loans are made. If your consumer credit transactions are consummated at the following locations, do not include that location's address: (a) title companies, (b) attorneys' offices, (c) stand alone administrative offices, (d) solicitation.

ADDRESS	CITY	ZIP	TELEPHONE
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GENERAL INFORMATION

TO BE FILLED IN BY ALL APPLICANTS

1. Do you engage in any other business in addition to Small Loans?

☐ Yes ☐ No If Yes, describe type of business or attach information as applicable. (IC 24-4.5-7-405)

2. List other states where you are operating as a Small Loan (Payday) Lender and date you were licensed.

3. Give history and full details of any material litigation and/or criminal convictions in past year for any owner, partner, corporate officer, limited liability member, or branch manager.

4. Have you had a license or registration cancelled, suspended, or revoked in any other state or been subject to a state or federal enforcement order?

☐ Yes ☐ No If Yes, give full details.

5. Has applicant and or all owners, officer, members, directors been involved in any type of transactions outlined in IC 24-4.5-7-102(2)(c), 7-410(f)? ☐ Yes ☐ No If Yes, give full details.

ATTACH EVIDENCE OF BOND REQUIRED BY IC 24-4.5-7-413.

► PLEASE NOTE:

THE DEPARTMENT MAY IMPOSE A FEE OF \$5.00 PER DAY ON ANY LICENSE RENEWAL THAT IS NOT RECEIVED ON OR BEFORE JANUARY 31.

SMALL LOAN LICENSE RENEWAL CHECK LIST

CHECK HERE	THE FOLLOWING MUST BE ATTACHED TO THE RENEWAL FORMS
	Small Loan License Renewal Fee
	Signed Page 1; printing name, giving title, and date.
	Corrections to business name, address, telephone or fax numbers If there is a change in your business name, you will need to send in your original license to be reissued with the new name
	Completed Page 2 listing your branch locations in Indiana.
	Completed Page 3 – General Information
	<p>Every licensee shall file notification with the department not later than 30 days after the date of the event if the licensee (Indiana Code 24-4.5-6-202(3)):</p> <ul style="list-style-type: none"> • Has a change in name, address, or principals; • Opens a new branch, closes an existing branch, or relocates an existing branch; • Files for bankruptcy or reorganization; • Is subject to revocation or suspension proceedings by a state or governmental authority with regard to the licensee's activities; or • Has a key officer or director of the licensee that is under a felony indictment related to the licensee's activities; or has been convicted of a felony related to the licensee's activities. • <u>Indiana Code 24-4.5-3-503(6) states: A loan license is not assignable or transferable.</u>

Check each item required to accompany the renewal to make sure your renewal forms are complete and send this check list with the renewal forms.

LIST OF CURRENT COMPANY OFFICERS

**Please provide the name, title and e-mail address of all current officers.
If the information changes throughout the year, notify this office**

[illegible]